

(Translation)

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(Translation)

## **Regulations**

### **Chapter 1100: Consideration of Offenses, Disciplinary Actions and Appeal Decisions**

#### **1101 Consideration of Offenses, Disciplinary Actions and Appeal Decisions**

The provisions in relation to the consideration of offenses, disciplinary actions and appeal decisions under the Regulations of TCH, with respect to derivatives, shall apply to the consideration of offenses, disciplinary actions and appeal decisions in relation to the securities, *mutatis mutandis*.